STATE OF ILLINOIS SECRETARY OF STATE SECURITIES DEPARTMENT

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IN THE MATTER OF: THE WUNDERKIND)	
GROUP LLC; AND RAYMOND WU)	File No. C0900424
)	

ORDER OF PROHIBITION

TO THE RESPONDENTS: THE WUNDERKIND GROUP LLC

c/o Raymond P. Wu 3131 S. Michigan Avenue Chicago, Illinois 60616

RAYMOND P. WU 3131 S. Michigan Avenue Chicago, Illinois 60616

REBECCA P. WU 4435 S. Artesian Chicago, Illinois 60632

WHEREAS, a Temporary Order of Prohibition was issued by the Secretary of State on June 6, 2011 temporarily prohibiting Respondents from offering or selling securities in the State of Illinois until further order of the Secretary of State.

WHEREAS, pursuant to Section 11.F of the Illinois Securities Law of 1953 [815 ILCS 5] (the "Act"), the failure to request a hearing within thirty (30) calendar days of the entry of a Temporary Order shall constitute an admission of any facts alleged therein and constitute a sufficient basis to make the Temporary Order final.

WHEREAS, Respondents have failed to request a hearing on the matters contained in the said Temporary Order within thirty (30) calendar days of the entry of said Temporary Order and Respondents are hereby deemed to have admitted the facts alleged in the said Temporary Order.

WHEREAS, the Secretary of State, by and through his duty authorized representatives, has adopted the Findings of Fact contained in the said Temporary Order as the Secretary of State's Final Findings of Fact as follows:

- 1. The Wunderkind Group, LLC ("Respondent Wunderkind" or collectively with Respondent Wu, "Respondents") is a dissolved Illinois limited liability company. Its last known address is 3131 S. Michigan Avenue, Chicago, Illinois 60616.
- 2. Raymond P. Wu ("Respondent Wu" or collectively with Respondent Wunderkind, "Respondents") was a managing member of Respondent Wunderkind at all relevant times herein. His last known address is 3131 S. Michigan Avenue, Chicago, Illinois 60616.
- 3. Rebecca P. Wu ("Respondent R. Wu" or collectively with Respondents Wu and Wunderkind) was listed as a managing member of Respondent Wunderkind at all relevant times herein. Her last known address is 4435 S. Artesian, Chicago, Illinois 60632.
- 4. Investor MC is a resident of the State of Illinois.
- 5. On or about August 1, 2008, on behalf of Respondent Wunderkind, Respondent Wu offered and sold to Investor MC a promissory note or investment contract in the amount of \$50,000.00, which promised to pay 8% rate of return, due within 30-60 business days of the executed agreement. In exchange, Investor MC gave respondents a check for \$50,000.00.
- 6. Respondents sold the note or investment contract for the stated purpose of raising capital to make gold Disney jewelry trinkets for "Simply Brilliant".
- 7. Immediately after Investor MC's funds were deposited into Respondents' bank account, Respondent used funds for purposes not related to Investor MC's investment.
- 8. At the time to repay principal and interest of investment, Respondent Wu told Investor MC that his money would be rolled back into his initial investment.
- 9. To date, despite demands, the Respondents have failed to pay Investor MC his principal and interest, pursuant to the terms of the note or investment contract.
- 10. To date, despite demands, Investor MC has not received any monies back from his investment, pursuant to the terms of the note or investment contract.
- 11. The activities set forth in paragraphs above constitute the offer and sale of note and investment contract, and therefore is a security, as those terms are defined in Section 2.1, 2.5 and 2.5a of the Illinois Securities Law of 1953, 815 ILCS 5/1 et seq.

- 12. Section 12.A of the Illinois Securities Law of 1953, 815 ILCS 5/1 et seq., (the "Act") states that it shall be a violation of the provisions of this Act for any person to "offer or sell any security except in accordance with the provisions of this Act."
- 13. Section 5 of the Act provides, <u>inter alia</u>, that all securities except those exempt under Section 3 of the Act or those offered and sold in transactions exempt under Section 4 of the Act shall be registered with the Secretary of State prior to their offer or sale in the State of Illinois.
- 14. Section 12.D of the Act, states that it shall be a violation of the provisions of the Act for any person to "fail to file with the Secretary of State any application, report or document under the provisions of this Act or any rule or regulation made by the Secretary of State pursuant to this Act or to fail to comply with the terms of any order of the Secretary of State issued pursuant to Section 11 hereof.
- 15. Respondents failed to file an application for registration of the above-referenced securities with the Secretary of State and as a result, the securities were not registered pursuant to Section 5 of the Act prior to their offer and sale in the State of Illinois.
- 16. By virtue of the foregoing, Respondents violated Sections 12.A and 12.D of the Act.
- 17. Section 12.F of the Act states that it shall be a violation of the provisions of the Act for any person to "engage in any transaction, practice, or course of business in connection with the sale or purchase of securities which works or tends to work a fraud or deceit upon the purchaser or seller thereof."
- 18. Section 12.G of the Act, states that it shall be a violation of the provisions of the Act for any person to "obtain money or property through the sale of securities by means of any untrue statement of a material fact or any omission to state a material fact necessary in order to make the statements made, in light of the circumstances under which they were made, not misleading."
- 19. Section 12.I of the Act states that it shall be a violation of the provisions of the Act for any person to "employ any device, scheme or artifice to defraud in connection with the sale or purchase of any security, directly or indirectly."
- 20. By virtue of the foregoing, Respondents violated Sections 12. F, G and I of the Act.

WHEREAS, the Secretary of State, by and though his duly authorized representative, has adopted the Conclusions of Law contained in the said Temporary Order as the Secretary of State's final Conclusions of Law as follows:

1. That by virtue of the foregoing, the Respondents Raymond P. Wu, Rebecca P. Wu and The Wunderkind Group LLC have violated Sections 12.A, 12.D, 12.F, 12.G and 12.I of the Act; and

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2. That by virtue of the foregoing, the Respondents Raymond P. Wu, Rebecca P. Wu and The Wunderkind Group LLC, are subject, pursuant to Section 11.F of the Act, to an Order which permanently prohibits them from offering or selling securities in the State of Illinois.

NOW THEREFORE, IT IS HEREBY ORDERED THAT: pursuant to the authority granted by Section 11.F of the Act, Respondents Raymond P. Wu, Rebecca P. Wu and The Wunderkind Group LLC are hereby prohibited from offering or selling securities in the State of Illinois until further order of the Secretary of State.

ENTERED: This __21st___ day of _July___, 2011.

JESSE WHITE
Secretary of State
State of Illinois

NOTICE: Failure to comply with the terms of this Order shall be a violation of the Section 12.D of the Act. Any person or entity who fails to comply with the terms of this Order of the Secretary of State, having knowledge of the existence of the Order, shall be guilty of a Class 4 felony.

This is a final order subject to administrative review pursuant to the Administrative Review Law, [735 ILCS 5/3-101 et seq.] and the Rules and Regulations of the Illinois Securities Act, [14 Ill. Admin. Code Ch. I, Section 130.1123]. Any action for Judicial Review must be commenced within thirty-five (35) days from the date a copy of this Order is served upon the party seeking review.

Attorney for the Secretary of State: Felicia H. Simmons-Stovall Enforcement Attorney Office of the Secretary of State Illinois Securities Department 69 West Washington Street, Suite 1220 Chicago, Illinois 60602

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